



**REQUEST FOR PROJECT PREPARATION GRANT (PPG)**  
**PROJECT TYPE: Full-sized Project**  
**THE GEF TRUST FUND**

**Submission date:** 16 June 2011  
**Resubmission date:** 23 March 2012

**GEF PROJECT ID<sup>1</sup>:** 4668

**GEF AGENCY PROJECT ID: ADDIS: 00746**

**COUNTRY(IES):** Botswana, Gambia, Kenya, Liberia, Mozambique, Namibia, Senegal, South Africa, Tanzania, Uganda, Zambia, Ethiopia, Madagascar, Zimbabwe.

**PROJECT TITLE:** Demonstration of effectiveness of diversified, environmentally sound and sustainable interventions, and strengthening national capacity for innovative implementation of integrated vector management (IVM) for disease prevention and control in the WHO AFRO Region.

**GEF AGENCY(IES):** UNEP (Implementing Agency), WHO (Executing Agency)

**OTHER EXECUTING PARTNER(S):**

- Relevant National sectoral Ministries in the 14 project countries,
- Relevant International and National NGOs in 14 project countries,
- University of Pretoria, South Africa,
- London School of Hygiene and Tropical Medicine, United Kingdom,
- Wits University NICD, South Africa.

**OTHER INVOLVED PROJECT PARTNERS:**

- the Global Fund to fight Aids, Tuberculosis and Malaria;
- United States Agency for International Development (USAID);
- the Secretariat of the Stockholm Convention.

**GEF FOCAL AREA(s):** Chemicals

**GEF-5 STRATEGIC PROGRAM(s):** Chemicals; objective 1 (Phase out POPs and reduce POPs releases), outcome 1.4 (POPs wastes managed and disposed of), outcome 1.5 (Country capacity built to effectively phase out and reduce releases of POPs).

**NAME OF PARENT/PROGRAM/UMBRELLA PROJECT (if applicable):** Global joint WHO/UNEP DSSA Program

**A. PROJECT PREPARATION TIMEFRAME**

Start date of PPG	01.08.2012
Completion date of PPG	31.07.2013

**B. PAST PROJECT PREPARATION ACTIVITIES (\$)**

List of Past Project Preparation Activities	Output of the Activities	Project Preparation Amount (a)	Co-financing (b)	Total c = a + b
Work on PIF, PPG	PIF, PPG	0	15,000	15,000
Work on draft logical framework, limited country consultations	Draft logical framework, country endorsements	0	5,000	5,000

<sup>1</sup> Project ID number will be assigned by GEFSEC. If PIF has already been submitted, please use the same ID number as PIF.

Interactions with countries and institutions & Agencies	Informal agreements about project proposal and commitments	0	10,000	10,000
Interactions & meetings with possible expert consultants of specialized institutions and other partners	Informal agreements on future collaboration	0	15,000	15,000
<b>Total Project Preparation Financing</b>		0	45,000	45,000

### C. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

<p>PPG activities and justifications: Carry out needs assessments in order to strengthen national capabilities for implementation and scaling up of evidence-based, innovative, diversified and environmentally sound disease vector control interventions (with special emphasis on malaria) with multi-stakeholder participation within context of IVM (General Project Objective).</p> <p>Specifically, to carry out needs assessments in order to</p> <ul style="list-style-type: none"> <li>- Identify opportunities, gaps and challenge of vector control programs to ensure that the project would address issues that are critically important to build capacity for effective management of vector control in the context of IVM;</li> <li>- Gather evidences at local level on which projects activities selection, planning and implementation would be based on;</li> <li>- Collate and document the basis for the promotion of evidence-based multi-sectoral policy-making for IVM and strengthen multi-sectoral alliance in the promotion &amp; implementation of environmentally sound &amp; effective innovative interventions for diseases vector control;</li> <li>- Assess the national capabilities for implementation and scaling up of evidence-based, environmentally sound &amp; innovative interventions for disease vector control;</li> <li>- Identify opportunities and needs to strengthen (sub) Regional collaboration and coordination, dissemination and sharing of country experiences;</li> <li>- Strengthen knowledge and capacity of national &amp; international NGOs &amp; CSOs for promotion and implementation of environmentally sound, effective innovative interventions for disease vector control;</li> <li>- Increase awareness and involvement of communities in the implementation of environmentally sound &amp; effective innovative interventions for diseases vector control.</li> </ul>				
List of Proposed Project Preparation Activities	Output of the PPG Activities	Project Preparation Amount (a)	Co-financing (b)	Total c = a + b
1. Needs assessment to promote evidence-based multi-sectoral policy-making for IVM and strengthen multi-sectoral alliance in the promotion &	14 costed Work Plans and protocols formulated, and timelines determined for the promotion of evidence-based	75,000	75,000	150,000

implementation of environmentally sound & effective innovative interventions for diseases vector control	multi-sectoral policy-making for IVM and strengthen multi-sectoral alliance in the promotion & implementation of environmentally sound & effective innovative interventions for diseases vector control			
2. Needs assessment to strengthen national capabilities for implementation and scaling up of evidence-based, environmentally sound & innovative interventions for disease vector control	14 costed Work Plans and protocols formulated and timelines determined for strengthening national capabilities for implementation and scaling up of evidence-based, environmentally sound & innovative interventions for disease vector control	50,000	55,000	105,000
3. Needs assessment to identify and determine the required support to countries to demonstrate effectiveness of diversified, environmentally safe innovative vector control methods including use of alternative chemicals to DDT within the context of IVM	10 <sup>2</sup> costed Work Plans and protocols formulated, and timelines determined for the demonstration of the effectiveness of diversified, environmentally safe innovative vector control methods including use of alternative chemicals to DDT within the context of IVM . Set up for Environmental Impact Assessments (EIA) designed for each proposed protocol.	150,000	140,000	290,000
4. Needs assessment required for (sub)	1 costed Work Plan formulated and	30,000	30,000	60,000

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<sup>2</sup> Maximum 10 countries

regional collaboration and coordination, dissemination and sharing of country experiences	time line determined for (sub) regional collaboration and coordination, dissemination and sharing of country experiences			
5. Needs assessment to strengthen knowledge and capacity of national & international NGOs & CSOs for promotion and implementation of environmentally sound, effective innovative interventions for disease vector control	1 costed Work Plan with timeline formulated for strengthening knowledge and capacity of national & international NGOs & CSOs for promotion and implementation of environmentally sound, effective innovative interventions for disease vector control	35,000	50,000	85,000
6. Increase awareness and involvement of communities in the implementation of environmentally sound & effective innovative interventions for diseases vector control	14 costed Work Plans with timelines concerning increasing awareness and involvement of communities in the implementation of environmentally sound & effective innovative interventions for diseases vector control	45,000	45,000	90,000
7. Needs assessment for Monitoring and Evaluation	Costed Monitoring- and Evaluation plan developed	15,000	25,000	40,000
8. Needs assessment for Project Management	Costed Project management- and institutional structure developed	15,000	35,000	50,000
<b>Total Project Preparation Financing</b>		<b>415,000</b>	<b>455,000</b>	<b>870,000</b>

**D. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)**

	<b>Project Preparation</b>	<b>UNEP Agency Fee</b>
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GEF financing	415,000	41,500
Co-financing	455,000	
<b>Total</b>	870,000	41,500

**E. PPG REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)<sup>1</sup>**

GEF Agency	Focal Area	Country Name/ Global	(in \$)		
			PPG (a)	UNEP Agency Fee (b)	Total c = a + b
UNEP	Chemicals	Botswana, Gambia, Kenya, Liberia, Mozambique, Namibia, Senegal, South Africa, Tanzania, Uganda, Zambia, Ethiopia, Madagascar, Zimbabwe.	415,000	41,500	456,500
<b>Total PPG Requested</b>			415,000	41,500	456,500

<sup>1</sup> No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.


**F. PPG BUDGET REQUEST**

Cost Items	Total Estimated Person Weeks for GEF Grant (PW)	GEF (\$)	Co-financing (\$)	Total (\$)
Local consultants *	224	125,000	100,000	225,000
International consultants*	58,2	217,100	165,000	382,100
Travel		38,000	70,000	108,000
Communication(Tel,Fax)		9,900	32,000	41,900
Harmonization meetings		25,000	88,000	113,000
<b>Total PPG Budget</b>		415,000	455,000	870,000

\* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

**G. GEF AGENCY(IES) CERTIFICATION**

This request has been prepared in accordance with GEF policies and procedures and meets the GEF criteria for project identification and preparation.

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GEF Agency Coordinator Date: 23 March, 2012	Tel. and Email: +254 20 762 4607

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**Annex A**

**Consultants Financed by the Project Preparation Grant (PPG)**

<b>Position / Titles</b>	<b>average US \$/ Person Week<sup>1</sup></b>	<b>Estimated PWS<sup>2</sup></b>	<b>US \$ Total</b>	<b>Tasks to be performed</b>
<b>Local consultants</b>				
1. Scientist/consultant for determining and identifying the required costed support to countries to demonstrate effectiveness of diversified, environmentally safe innovative vector control methods including use of alternative chemicals to DDT within the context of IVM	558	32 <sup>3</sup>	17,856	In-country coordination & development of costed work plans, timelines and protocols
2. Scientist/consultant for determining and identifying the costed needs assessment to promote evidence-based multi-sectoral policy-making for IVM and strengthen multi-sectoral alliance in the promotion & implementation of environmentally sound & effective innovative interventions for diseases vector control	558	32	17,856	In-country coordination & development of costed work plans, timelines and protocols
3. Scientist/consultant for determining and identifying the costed needs required to strengthen national capabilities for implementation and scaling up of evidence-based, environmentally sound & innovative interventions for disease vector control	558	32	17,856	In-country coordination & development of costed work plans, timelines and protocols
4. Scientist/consultant for determining and identifying the costed needs required for (sub) regional collaboration and coordination, dissemination	558	32	17,856	In-country coordination & development of costed work plans, timelines and protocols

<sup>3</sup> Maximum 10 countries

and sharing of country experiences				
5. Scientist/consultant for determining and identifying the costed needs required to strengthen knowledge and capacity of national & international NGOs & CSOs for promotion and implementation of environmentally sound, effective innovative interventions for disease vector control	558	32	17,856	In-country coordination & development of costed work plans, timelines and protocols
6. Scientist/consultant for determining and identifying the costed needs to increase awareness and involvement of communities in the implementation of environmentally sound & effective innovative interventions for diseases vector control	558	32	17,856	In-country coordination & development of costed work plans, timelines and protocols
7. Scientist/consultant for determining and identifying the costed needs for Monitoring and Evaluation	558	16	8,928	Development of in-country Project Management, M&E plan for all project components
8. Scientist/consultant for determining and identifying the costed needs for Project Management and institutional framework	558	16	8,928	In-country stakeholder identification, development of Project Management and institutional setting arrangements, liaison with project partners during PPG phase, general overview of the data and information collection, contact to and 'buy in' of local policy makers
<b>Total local consultants:</b>		<b>224 PWs</b>	<b>125,000</b>	
<b>International consultants</b>				
1. Scientist/consultant for determining and identifying the required costed support to countries to demonstrate effectiveness of diversified, environmentally safe	3,500	12	42,000	coordination and consolidation of country costed work plans, timelines and protocols, scientific quality assurance



innovative vector control methods including use of alternative chemicals to DDT within the context of IVM				
2. Scientist/consultant for determining and identifying the costed needs assessment to promote evidence-based multi-sectoral policy-making for IVM and strengthen multi-sectoral alliance in the promotion & implementation of environmentally sound & effective innovative interventions for diseases vector control	3,500	6	21,000	coordination and consolidation of country costed work plans, timelines and protocols, scientific quality assurance
3. Scientist/consultant for determining and identifying the costed needs required to strengthen national capabilities for implementation and scaling up of evidence-based, environmentally sound & innovative interventions for disease vector control	3,500	10	35,000	coordination and consolidation of country costed work plans, timelines and protocols, scientific quality assurance
4. Scientist/consultant for determining and identifying the costed needs required for (sub) regional collaboration and coordination, dissemination and sharing of country experiences	3,500	8	28,000	coordination and consolidation of country costed work plans, timelines and protocols, scientific quality assurance
5. Scientist/consultant for determining and identifying the costed needs required to strengthen knowledge and capacity of national & international NGOs & CSOs for promotion and implementation of environmentally sound, effective innovative interventions for disease vector control	3,500	8	28,000	coordination and consolidation of country costed work plans, timelines and protocols, scientific quality assurance
6. Scientist/consultant for determining and identifying	3,500	6	21,000	coordination and consolidation of country

the costed needs to increase awareness and involvement of communities in the implementation of environmentally sound & effective innovative interventions for diseases vector control				costed work plans, timelines and protocols, scientific quality assurance
7. Scientist/consultant for determining and identifying the costed needs for Monitoring and Evaluation	3,500	6	21,000	Development of Project Management, M&E plan for all project components
8. Scientist/consultant/ Project Management/development specialist for determining and identifying the costed needs for Project Management and institutional framework	3,459	6,1	21,100	Inter-country coordination, project management and institutional setting arrangements, liaison with project partners during PPG phase, stakeholder analysis, general overview and scientific quality control; facilitate coordination meetings; facilitate high level decision making; review documents
<b>Total international consultants:</b>		<b>58,2 PWs</b>	<b>217,100</b>	

<sup>1</sup> Provide dollar amount per person week.

<sup>2</sup> Provide person weeks needed to carry out the task and corresponds to the dollar amount per person week in the previous column.

## GEF Trust Fund PPG Preparation Guidelines

**Unlock instruction:** The template, by default, is locked to allow the pull-down menu to function. However, in order to access the various documents through the hyperlink, the template has to be in an unlocked form. To unlock the template follow this path: Go to **View >Toolbars>Forms**. You will then see a pop up menu like this. Click on the right-most icon (a lock) to unlock.

When inputting information in the fields in the template, please use the “locked” mode.

**Submission date:** self-explanatory

### **PART I: PROGRAM INFORMATION**

The first part is the project core information and standard selections are provided to the extent possible for ease of preparation. The Strategic Programs for each focal area have to be filled in manually, due to limitations by Microsoft Word which prevented the provision of the full range selections for all focal areas. For convenience, the strategic programs (SP) in each focal area are listed below:

<b>Biodiversity</b>	<b>Climate Change</b>	<b>International Waters</b>	<b>Land Degradation</b>	<b>POPs*</b>	<b>ODS*</b>	<b>SFM*</b>
<b>BD-SP1-PA</b> Financing	<b>CC-SP1-</b> Building EE	<b>IW-SP1-</b> Coastal Marine Fisheries	<b>LD-SP1-</b> Agriculture	<b>POPs-SP1-</b> CapacityB	<b>ODS-</b> <b>SP1</b>	<b>SFM-SP1-</b> Financing
<b>BD-SP2-</b> Marine PA	<b>CC-SP2-</b> Industrial EE	<b>IW-SP2-</b> Nutrient Reduction	<b>LD-SP2-</b> Forest	<b>POPs-SP2-</b> Investment		<b>SFM-SP2-PA</b> Networks
<b>BD-SP3-PA</b> Networks	<b>CC-SP3-RE</b>	<b>IW-SP3-</b> Freshwater Basins	<b>LD-SP3-</b> Innovation	<b>POPs-SP3-</b> Demonstration		<b>SFM-SP3-</b> LULUCF
<b>BD-SP4-</b> Policy	<b>CC-SP4-</b> Biomass	<b>IW-SP4-</b> Toxics/Ice				<b>SFM-SP4-</b> Policy
<b>BD-SP5-</b> Markets	<b>CC-SP5-</b> Transport					<b>SFM-SP5-</b> Markets
<b>BD-SP6-</b> Biosafety	<b>CC-SP6-</b> LULUCF					<b>SFM-SP6-</b> Biomass
<b>BD-SP7-</b> Invasive Alien Species (IAS)						<b>SFM-SP7-</b> Forest
<b>BD-SP8-</b> ABS- Capacity Building						

\* POPs = Persistent Organic Pollutants; ODS = Ozone Depleting Substance; SFM = Sustainable Forest Management

- A. **Project Preparation Timeframe:** Provide the estimated start date and completion date of the PPG.
- B. **Past Project Preparation Activities:** Provide the past project preparation activities using PDF-A, -B or -C and corresponding amounts in GEF financing and co-financing.
- C. **Proposed Project Preparation Activities and justifications:** Describe the activities of the PPG, i.e. the activities that will be financed by GEF grant and co-financing for the preparation of the project, and provide justification as needed.
- D. **Financing Plan Summary:** Provide the financing of PPG from GEF sources and co-financing sources and corresponding Agency fee for the GEF financing source.
- E. **PPG requested by Agency (ies), focal area(s) and country (ies):** This table provides the share of the PPG amount by focal area, Agency and country. For single country, single focal area and single Agency implemented projects, this table could be skipped. In providing Agency fee amount, the rule is that total amount should not exceed 10% following the Fee Policy provisions. If for whatever reason the amount is less than 10%, please provide explanation since we will follow whatever amount

Agency requested as long as it is within the 10% limit. The explanation should be included in the cover letter that accompanies the submission of PPG request to GEFSEC.

- F. PPG Budget Request: **GEF PPG finances mainly consultant services for the preparation of the project, including their travel.** A separate Annex A is included with this PPG request providing details of the consultant person weeks needed for each position /title, the unit cost per person week and tasks to be performed by the consultants. Annex A should be prepared first before completing this section.
- G. GEF Agency(ies) Certification: To be signed off by the Agency's designated authority.

Annex A: Detailed breakdown of consultants by position / title, unit cost for the consultants, and person weeks intended for the tasks to be performed, as indicated in the last column.